

## FORMALITIES LETTER



\*OC00000004988694\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/493,710         | 01/28/2000          | Gadi Lenz             | Lenz 9-12              |

Anastasia P Winslow Esq  
Mathews Collins Shepherd & Gould PA  
100 Thanet Circle Suite 306  
Princeton, NJ 08540-3674



Date Mailed: 03/10/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/30/2000 KZENDIE 00000079 122325 09493710  
01 FC:105 130.00 CH

| Response To Notice To File Missing Parts Of Application<br>Filing Date Granted (PTO-1533)(Large Entity)  |                        |                 | Docket No.<br>LENZ 9-12 |
|--|------------------------|-----------------|-------------------------|
| In Re Application Of: GADI LENZ AND CHRISTI KAY MADSEN   |                        |                 |                         |
| Serial No.<br>09/493,710   | Filing Date<br>1/28/00 | Examiner<br>TBA | Group Art Unit<br>2733  |
| Invention: ARTICLE COMPRISING A SINGLE-STAGE ALL-PASS OPTICAL FILTER   |                        |                 |                         |
| <p style="text-align: center;"><u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u></p> <p style="text-align: center;"><u>Box Missing Parts</u></p> <p>This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on<br/><u>3/10/00</u><br/>Date</p> <p>Enclosed herewith for filing are the following:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). <b>(REQUIRED)</b></li><li><input checked="" type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.</li><li><input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.</li><li><input checked="" type="checkbox"/> Other (list):</li></ul> <div style="border: 1px solid black; padding: 5px; min-height: 150px;"><p><b>ASSIGNMENT TO LUCENT TECHNOLOGIES INC.</b><br/><b>RETURN POSTCARD</b></p></div> |                        |                 |                         |

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**LENZ 9-12**

In Re Application Of: **GADI LENZ AND CHRISTI KAY MADSEN**

Serial No.  
**09/493,710**

Filing Date  
**1/28/00**

Examiner  
**TBA**

Group Art Unit  
**2733**

Invention: **ARTICLE COMPRISING A SINGLE-STAGE ALL-PASS OPTICAL FILTER**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the invention

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**LENZ 9-12**

In Re Application Of: **GADI LENZ AND CHRISTI KAY MADSEN**

| Serial No. | Filing Date | Examiner | Group Art Unit |
|------------|-------------|----------|----------------|
| 09/493,710 | 1/28/00     | TBA      | 2733           |

Invention: **ARTICLE COMPRISING A SINGLE-STAGE ALL-PASS OPTICAL FILTER**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **12-2325**  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

  
*Signature*

Dated: **3-24-2000**

**ANASTASIA P. WINSLOW**  
REG. NO 40,875

**MATHEWS, COLLINS, SHEPHERD & GOULD**  
100 THANET CIRCLE, SUITE 306  
PRINCETON, NJ 08540  
TEL.: 609-924-8555  
FAX: 609-924-3036

CC:

I certify that this document and fee is being deposited on  
**3/24/00** with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
*Signature of Person Mailing Correspondence*

**ALAYNE GELLER**

*Typed or Printed Name of Person Mailing Correspondence*

27C1

2733  
Sector

**FORMALITIES LETTER**



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**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

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Princeton, NJ 08540-3674



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*G. Mollen*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

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MAY 25 2000  
OIPE/JCWS